CAPTRUST

Episode 43: The Legislative Landscape and a Regulatory Recap

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In episode 43 of *Revamping Retirement*, Jennifer Doss and Scott Matheson are joined by Dawn McPherson, CAPTRUST's director of retirement plan consulting. Jennifer and Dawn recently attended the National Association of Plan Advisors (NAPA) D.C. Fly-In Forum, which provides an opportunity for retirement plan advisors to engage with key policymakers and get the inside scoop on what's going on in terms of legislative policy affecting the retirement industry.

The two provide an update on where we're at with the Senate's Securing a Strong Retirement Act (SECURE 2.0) and the House's Retirement Improvement and Savings Enhancement to Supplement Healthy Investments for the Nest Egg (RISE and SHINE) Act, what needs to happen get a reconciled bill over the finish line, and the expected timeframe for doing so. They also explore some of the more significant provisions, like student loan repayment, emergency savings access, and auto-portability.

On the regulatory front, Jennifer shares what she heard from Ali Khawar, the acting assistant secretary of the DOL's Employee Benefits Security Administration (EBSA)—including his cryptocurrency concerns, why cybersecurity keeps him up at night, and when we can expect to see guidance on environmental, social, and governance (ESG) investing in retirement plans.

Later, Mike Webb discusses the differences between plan termination and mergers in *Minute with Mike*.

Show Notes:

- Our conversation with Jeff Bush about the Washington outlook for the retirement industry canbe found here.
- Our mid-year check-in discussing proposed legislation and DOL guidance can be found here.
- Our discussion with Dr. Shlomo Benartzi can be found here.