



2023 Fiduciary Training Series, Part 4: Staying Ahead of Retirement Plan Audits (Webinar Recording)

Effectively managing a retirement plan is a complex and ever-evolving responsibility. The annual plan audit, often regarded with apprehension by plan sponsors, requires a significant commitment of time, effort, and financial resources. Plan sponsors must also grapple with a growing array of auditor inquiries and heightened documentation demands—a direct consequence of the expanding scope of auditing standards in recent years. Furthermore, the possibility of audits conducted by regulatory bodies, such as the Department of Labor and Internal Revenue Service, looms in the background.

To learn what can be done to prepare for these audits, ensure ERISA compliance, and manage fiduciary risks, watch the next installment of our Fiduciary Training webinar series. During this one-hour session, Director of Retirement Plan Consulting [Dawn McPherson](#) hosts a panel of three subject-matter experts:

- [Susan Shoemaker](#) | Principal and Financial Advisor at CAPTRUST
- Jodi Green | ERISA Attorney and Partner at Tatum Hillman & Powell, LLP
- Scott Miller | CPA and Retirement Plan Auditor at Scott Miller CPA & Associates, Inc

To download a copy of the transcript, click [here](#).

Additional Resources

[Webinar slides](#)